



**PATENT**  
Attorney Docket No. UCSD-06783

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Ronald Vale *et al.*

Serial No.: 10/031,819

Group No.: 1639

Filed: 08/12/2002

Examiner: Shibuya, M.L.

Entitled: **Assays For Detecting Modulators Of Cytoskeletal Function**

**TRANSMITTAL**

**MS Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Dated: August 12, 2005

By: 

Cliff Cannon-Cin

Dear Sir or Madam:

Applicants hereby submit the following documents:

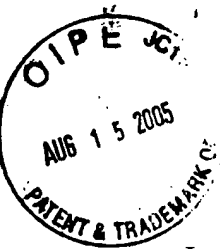
- Amendment to Correct Inventorship
- Assignment document (previously submitted for recordation by facsimile), whereby the subject application and the invention disclosed therein is assigned by inventors Ronald Vale, Daniel Pierce, and Lawrence S. B. Goldstein to THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, of Oakland, California
- Power of Attorney by Assignee
- Processing Fee Transmittal

Dated: August 12, 2005



Christine A. Lekutis  
Registration No. 51,934

MEDLEN & CARROLL, LLP  
101 Howard Street, Suite 350  
San Francisco, California 94105  
415.904.6500

AF / JMW  
PATENT

Attorney Docket No. UCSD-06783

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ronald Vale *et al.*

Serial No.: 10/031,819

Group No.: 1639

Filed: 08/12/2002

Examiner: Shibuya, M.L.

Entitled: Assays For Detecting Modulators Of Cytoskeletal Function

## AMENDMENT UNDER 35 U.S.C. § 116

## MS Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

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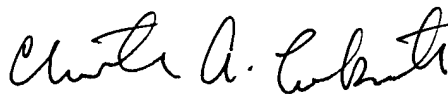
Cliff Cannon-Cin

Dear Sir or Madam:

Applicants hereby request a correction of inventorship. In particular, Applicants amend inventorship by adding **Lawrence S. B. Goldstein** as a fourth or joint inventor. Thus, the actual inventors of the above referenced application include Ronald Vale, Daniel Pierce, James Spudich, and Lawrence S. B. Goldstein.

The inventor in question, Lawrence S. B. Goldstein, was erroneously omitted without deceptive intent, as evidenced by the attached **Statement of Lawrence S. B. Goldstein** (Tab 1). Also attached is a substitute **Declaration** executed by the actual inventors (Tab 2). In addition, please find a check in the amount of **\$130.00** to cover the requisite processing fee.

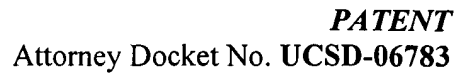
Dated: August 12, 2005

Christine A. Lekutis  
Registration No. 51,934MEDLEN & CARROLL, LLP  
101 Howard Street, Suite 350  
San Francisco, California 94105  
415.904.6500

08/16/2005 ZJUHR1 00000025 10031819

01 FC:1464

130.00 OP





## DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Assays For Detecting Modulators Of Cytoskeletal Function**, the specification of which was filed on **12 August 2002** as Application Serial No. **10/031,819**. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 365(b) of any foreign application(s) for patent, or inventor's certificate(s), or 365(a) of any PCT international application, which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent, or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:


<u>PCT/US98/18368</u>	<u>PCT</u>	<u>3 September 1998</u>	<u>Yes</u>
<i>Number</i>	<i>Country</i>	<i>Day/Month/Year Filed</i>	<i>Priority Claimed</i>

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States application(s) listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>60/057,895</u>	<u>4 September 1997</u>	<u>Abandoned</u>
<i>Application Serial No.</i>	<i>Filing Date</i>	<i>Patented, Pending or Abandoned</i>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor: **Ronald Vale**

Inventor's Signature:  Date: July 13, 2005  
Residence: \_\_\_\_\_ Citizenship: United States of America  
Post Office Address: 1430 15<sup>th</sup> Avenue, San Francisco, California 94122  
22 Hillcrest Road, Tiburon, CA 94107

Full Name of Second Joint Inventor: **Daniel Pierce**

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence: \_\_\_\_\_ Citizenship: United States of America  
Post Office Address: 659 Veranda Circle, Hayward, California 94541



## DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Assays For Detecting Modulators Of Cytoskeletal Function**, the specification of which was filed on **12 August 2002** as Application Serial No. **10/031,819**. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

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<u>PCT/US98/18368</u>	<u>PCT</u>	<u>3 September 1998</u>	<u>Yes</u>
<i>Number</i>	<i>Country</i>	<i>Day/Month/Year Filed</i>	<i>Priority Claimed</i>

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<u>60/057,895</u>	<u>4 September 1997</u>	<u>Abandoned</u>
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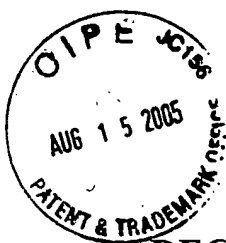
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor: **Ronald Vale**

Inventor's Signature: Ronald Vale Date: July 21, 2005  
Residence: \_\_\_\_\_ Citizenship: United States of America  
Post Office Address: 22 Hillcrest Road, Tiburon, CA 94107

Full Name of Second Joint Inventor: **Daniel Pierce**

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence: \_\_\_\_\_ Citizenship: United States of America  
Post Office Address: 659 Veranda Circle, Hayward, California 94541



## DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Assays For Detecting Modulators Of Cytoskeletal Function**, the specification of which was filed on **12 August 2002** as Application Serial No. **10/031,819**. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

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<i>Number</i>	<i>Country</i>	<i>Day/Month/Year Filed</i>	<i>Priority Claimed</i>

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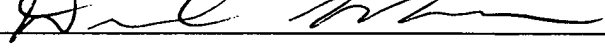
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<i>Application Serial No.</i>	<i>Filing Date</i>	<i>Patented, Pending or Abandoned</i>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor: **Ronald Vale**

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence: \_\_\_\_\_ Citizenship: United States of America  
Post Office Address: 1430 15<sup>th</sup> Avenue, San Francisco, California 94122

Full Name of Second Joint Inventor: **Daniel Pierce**

Inventor's Signature:  Date: 7/11/2005  
Residence: \_\_\_\_\_ Citizenship: United States of America  
Post Office Address: 659 Veranda Circle, Hayward, California 94541

Full Name of Third Joint Inventor: **James Spudich**

Inventor's Signature: \_\_\_\_\_

*James Spudich*

Date: \_\_\_\_\_

*July 13, 2005*

Residence: \_\_\_\_\_

Citizenship: United States of America

Post Office Address: \_\_\_\_\_

3035 Country Club Court, Palo Alto, California 94304

Full Name of Fourth Joint Inventor: **Lawrence S. B. Goldstein**

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: \_\_\_\_\_

Citizenship: United States of America

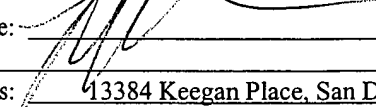
Post Office Address: \_\_\_\_\_

13384 Keegan Place, San Diego, California 92130

Full Name of Third Joint Inventor: **James Spudich**

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence: \_\_\_\_\_ Citizenship: United States of America  
Post Office Address: 3035 Country Club Court, Palo Alto, California 94304

Full Name of Fourth Joint Inventor: **Lawrence S. B. Goldstein**

Inventor's Signature:  \_\_\_\_\_ Date: JUL 13 2005  
Residence: \_\_\_\_\_ Citizenship: United States of America  
Post Office Address: 13384 Keegan Place, San Diego, California 92130



## ASSIGNMENT

WHEREAS, WE, Ronald Vale, Daniel Pierce and Lawrence S. B. Goldstein, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **Assays For Detecting Modulators Of Cytoskeletal Function**

Filing / 371(c) Date: 12 August 2002

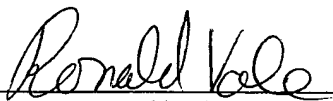
Serial No.: 10/031,819

WHEREAS, The Regents of the University of California, a California corporation, 1111 Franklin Street 5<sup>th</sup> Floor, Oakland, California 94607-5200, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNOR by ASSIGNEE and other good and valuable consideration, receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNOR further agrees that ASSIGNOR will, without charge to said ASSIGNEE, but at ASSIGNEE's expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications; execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof; and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE's successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's names to this assignment on the date indicated below.

 July 21, 2005  
Ronald Vale (date)

\_\_\_\_\_  
Daniel Pierce (date)

\_\_\_\_\_  
Lawrence S. B. Goldstein (date)

## ASSIGNMENT

WHEREAS, WE, Ronald Vale, Daniel Pierce and Lawrence S. B. Goldstein, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **Assays For Detecting Modulators Of Cytoskeletal Function**

Filing / 371(c) Date: 12 August 2002

Serial No.: 10/031,819

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IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's names to this assignment on the date indicated below.

\_\_\_\_\_  
Ronald Vale

(date)

  
\_\_\_\_\_  
Daniel Pierce

7/21/2005  
(date)

\_\_\_\_\_  
Lawrence S. B. Goldstein

(date)

## ASSIGNMENT

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Title of Invention: **Assays For Detecting Modulators Of Cytoskeletal Function**

Filing / 371(c) Date: 12 August 2002

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\_\_\_\_\_  
Ronald Vale (date)

\_\_\_\_\_  
Daniel Pierce (date)

\_\_\_\_\_  
Lawrence S. B. Goldstein (date)



PATENT  
Attorney Docket No.: UCSD-06783

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ronald Vale *et al.*  
Serial No.: 10/031,819  
Filed: 08/12/2002  
Entitled: Assays For Detecting Modulators Of Cytoskeletal Function

Group No.: 1639  
Examiner: Shibuya, M.L.

POWER OF ATTORNEY BY ASSIGNEE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

The Regents of the University of California, as Assignee of record of the entire interest of the above-identified patent application, hereby appoints the members of the firm of MEDLEN & CARROLL, LLP, a firm composed of:

Tanya A. Arenson	(Reg. No. 47,391)	David A. Casimir	(Reg. No. 42,395)
Jason R. Bond	(Reg. No. 45,439)	Maha A. Hamdan	(Reg. No. 43,655)
Mary Ann D. Brow	(Reg. No. 42,363)	Thomas C. Howerton	(Reg. No. 48,650)
Thomas W. Brown	(Reg. No. 50,002)	J. Mitchell Jones	(Reg. No. 44,174)
Peter G. Carroll	(Reg. No. 32,837)	Christine A. Lekutis	(Reg. No. 51,934)

as its attorneys with full power of substitution to prosecute this application and transact all business in the Patent and Trademark Office in connection therewith.

Please direct all future correspondence and telephone calls regarding this application to:

Christine A. Lekutis  
MEDLEN & CARROLL, LLP  
101 Howard Street, Suite 350  
San Francisco, California 94105

Telephone: 415/904-6500  
Facsimile: 415/904-6510

I hereby certify that the Assignment document filed with the application or filed subsequent to the filing date of the application has been reviewed and I hereby certify that, to the best of my knowledge and belief, title is with The Regents of the University of California.

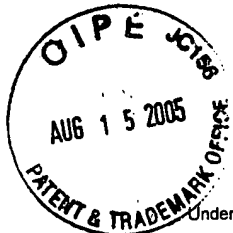
Dated: August 3, 2005

By: Alan S. Paau

Name: Alan S. Paau

Title: Asst. Vice Chancellor

The Regents of the University of California  
1111 Franklin Street  
Oakland, California 94607-5200



PTO/SB/17i (04-05)

Approved for use through 07/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PROCESSING FEE****Under 37 CFR 1.17(i)****TRANSMITTAL**

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	10/031,819
Filing Date	08/12/2002
First Named Inventor	Ronald Vale
Art Unit	1639
Examiner Name	Shibuya, M.L.
Attorney Docket Number	UCSD-06783

**Enclosed is a paper filed under 37 CFR 1.48 that requires a processing fee (37 CFR 1.17(i)).  
Payment of \$ 130.00 is enclosed.**

This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.

**Payment of Fees** (small entity amounts are NOT available for the processing fees)☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 08-1290☐ processing fee under 37 CFR 1.17(i) ☒ any deficiency of fees and credit of any overpayments

Enclose a duplicative copy of this form for fee processing.

☒ Check in the amount of \$ 130.00 is enclosed.☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.**Processing Fees under 37 CFR 1.17(i): Fee \$130 Fee Code 1808 for all,  
Except for § 1.221 papers (Fee Code 1803)**

For papers filed under:

§ 1.28(c)(3) - for processing a non-itemized fee deficiency based on an error in small entity status.

§ 1.41 - for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by § 1.63, except in provisional applications.

§ 1.48 - for correcting inventorship, except in provisional applications.

§ 1.52(d) - for processing a nonprovisional application filed with a specification in a language other than English.

§ 1.53(b)(3) - to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b).

§ 1.55 - for entry of late priority papers.

§ 1.71(g)(2) - to enter an amendment to the specification for purposes of 35 U.S.C. 103(c)(2) if not filed within the cited time periods

§ 1.99(e) - for processing a belated submission under § 1.99.

§ 1.103(b) - for requesting limited suspension of action, continued prosecution application (§ 1.53(d)).

§ 1.103(c) - for requesting limited suspension of action, request for continued examination (§ 1.114).

§ 1.103(d) - for requesting deferred examination of an application.

§ 1.217 - for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication.

§ 1.221 - for requesting voluntary publication or republication of an application. **Fee Code 1803**

§ 1.291(c)(5) - for processing a second or subsequent protest by the same real party in interest.

§ 1.497(d) - for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) naming an inventive entity different from the inventive entity set forth in the international stage.

§ 3.81 - for a patent to issue to assignee, assignment submitted after payment of the issue fee.

Signature

Christine A. Lekutis

Typed or printed name

August 12, 2005

Date

51,934

Registration No., if applicable

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